

UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION

In the Matter of:

Whistleblower Award Claim of **Claimant** – Form WB-APP 2013-02-15-01

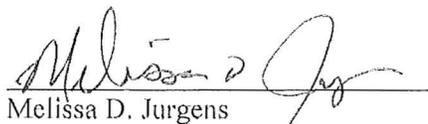
FINAL ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award claim of **Claimant**, submitted on Form WB-APP 2013-02-15-01.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) and 17 C.F.R. § 165.13, if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: Dec. 3, 2013


Melissa D. Jurgens
Secretary of the Commission
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581

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WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (Commission) is the whistleblower award claim of Claimant (Claimant), filed on Form WB-APP 2013-02-15-01.

IT IS HEREBY DETERMINED that:

1. The claim is denied because the information provided by the Claimant did not lead to the successful enforcement of a Commission covered judicial or administrative action as required by Section 23(b)(1) of the Commodity Exchange Act [7 U.S.C. § 26(b)(1)] and Rules 165.2(i) and 165.5(a)(3) of the Commission's Whistleblower Rules [17 C.F.R. §§ 165.2(i), 165.5(a)(3)]. The information provided by the Claimant did not cause the Commission staff to commence an examination, open an investigation, reopen an investigation that the Commission had closed, or to inquire concerning different conduct as part of a current examination or investigation. Nor did the Claimant's information significantly contribute to the success of a Commission matter. The claim is denied because the Claimant did not file a Form TCR as required by Section 23(a)(7) of the Commodity Exchange Act (CEA) [7 U.S.C. § 26(a)(7)] and Rules 165.2(p) and 165.3 of the Commission's Whistleblower Rules (Rules) [17 C.F.R. §§ 165.2(p), 165.3].

2. The claim is denied because Claimant supplied the information on which the claim is based in response to a request from Commission staff. The information was therefore not provided “voluntarily” as required by Section 23(b)(1) of the Commodity Exchange Act (CEA) [7 U.S.C. § 26(b)(1)] and Rule 165.2(o)(1) of the Commission’s Whistleblower Rules (Rules) [17 C.F.R. § 165.2(o)(1)].

3. The claim is also denied because Claimant did not file a Form TCR and therefore is not a “whistleblower” as defined by CEA Section 23(a)(7) [7 U.S.C. § 26(a)(7)] and Rules 165.2(p) and 165.3 [17 C.F.R. §§ 165.2(p), 165.3].

Dated: November 21, 2013

Whistleblower Award Determination Panel
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581